

AGENCY RULES

CONFLICTS OF INTEREST BOARD

CITY OF NEW YORK CONFLICTS OF INTEREST BOARD

Notice of Adoption of Final Rule
Amending the Dollar Amount
with Respect to "Ownership Interest"

NOTICE IS HEREBY GIVEN that, pursuant to the authority vested in the Conflicts of Interest Board by Section 2603(a) of the New York City Charter and in accordance with the requirements of Section 1043 of the Charter, the Conflicts of Interest Board has adopted a new final rule, Section 1-11 of Title 53 of the Rules of the City of New York, adjusting the dollar amount in the definition of "Ownership Interest" (Charter Section 2601(16)) to reflect changes in the Consumer Price Index for the metropolitan New York-New Jersey region published by the United States Department of Commerce, Bureau of Labor Statistics. Pursuant to a notice published on September 2, 1994, in The City Record, a public hearing on the proposed rule was held on October 11, 1994, at 2 Lafayette Street. The Board did not receive any comments on the proposed rule and, without change, adopted it as final. The text of the new final rule is underlined below. The rule shall become effective 30 days after publication of this notice in The City Record.

Dated: October 24, 1994

SECTION 1-11. ADJUSTMENT OF DOLLAR AMOUNT IN DEFINITION OF "OWNERSHIP INTEREST"

Effective as of January 1, 1994, the dollar amount in the definition of "Ownership Interest" in subdivision (16) of section 2601 of the New York City Charter shall be adjusted from \$25,000 to \$29,000.

STATEMENT OF BASIS AND PURPOSE OF PROPOSED RULE: Subject to certain exceptions, the conflicts of interest provisions of Chapter 68 of the New York City Charter prohibit New York City public servants from having "interests" in firms engaged in business dealings with the City or from taking actions as a public servant particularly affecting the public servant's interest in a firm. See Charter §§ 2604(a) and 2604(b)(1). An interest may be either an ownership interest in a firm or a position with a firm. See Charter § 2601(12). "Ownership interest" is, in turn, defined in Charter § 2601(16) as

an interest in a firm held by a public servant, or the public servant's spouse or unemancipated child, which exceeds five percent of the firm or an investment of twenty-five thousand dollars in cash or other form of commitment, whichever is less, or five percent or twenty-five thousand dollars of the firm's indebtedness,

whichever is less, and any lesser interest in a firm when the public servant, or the public servant's spouse or unemancipated child exercises managerial control or responsibility regarding any such firm, but shall not include interests held in any pension plan, deferred compensation plan or mutual fund, the investments of which are not controlled by the public servant, the public servant's spouse or unemancipated child, or in any blind trust which holds or acquires an ownership interest. The amount of twenty-five thousand dollars specified herein shall be modified by the board pursuant to subdivision a of section twenty-six hundred three. (Emphasis added.)

Charter § 2603(a) requires the Conflicts of Interest Board, by rule, once every four years to adjust the \$25,000 dollar amount established in section 2601(16) to reflect changes in the Consumer Price Index for the metropolitan New York-New Jersey region published by the United States Bureau of Labor Statistics. The foregoing provision became effective on January 1, 1990. Accordingly, the Board must adopt a rule adjusting the \$25,000 amount to reflect changes in the Consumer Price Index.

According to the United States Department of Commerce, Bureau of Labor Statistics, for the four-year period from January 1990 to January 1994, the Consumer Price Index for the metropolitan area increased from 135.1 to 156.0, or 15.5%. Thus, the \$25,000 amount should be adjusted to \$29,000, reflecting a 15.5% increase in the \$25,000 Charter amount, rounded to the nearest \$1,000.

CITY OF NEW YORK CONFLICTS OF INTEREST BOARD

Notice of Adoption of Final Rule Amending the Dollar Amount with Respect to "Ownership Interest"

NOTICE IS HEREBY GIVEN that, pursuant to the authority vested in the Conflicts of Interest Board by Section 2603(a) of the New York City Charter and in accordance with the requirements of Section 1043 of the Charter, the Conflicts of Interest Board has adopted a new final rule, Section 1-11 of Title 53 of the Rules of the City of New York, adjusting the dollar amount in the definition of "Ownership Interest" (Charter Section 2601(16)) to reflect changes in the Consumer Price Index for the metropolitan New York-New Jersey region published by the United States Department of Commerce, Bureau of Labor Statistics. Pursuant to a notice published on September 2, 1994, in The City Record, a public hearing on the proposed rule was held on October 11, 1994, at 2 Lafayette Street. The Board did not receive any comments on the proposed rule and, without change, adopted it as final. The text of the new final rule is underlined below. The rule shall become effective 30 days after publication of this notice in The City Record.

Dated: October 24, 1994

SECTION 1-11. ADJUSTMENT OF DOLLAR AMOUNT IN DEFINITION OF "OWNERSHIP INTEREST"

Effective as of January 1, 1994, the dollar amount in the definition of "Ownership Interest" in subdivision (16) of section 2601 of the New York City Charter shall be adjusted from \$25,000 to \$29,000.

STATEMENT OF BASIS AND PURPOSE OF PROPOSED RULE: Subject to certain exceptions, the conflicts of interest provisions of Chapter 68 of the New York City Charter prohibit New York City public servants from having "interests" in firms engaged in business dealings with the City or from taking actions as a public servant particularly affecting the public servant's interest in a firm. See Charter §§ 2604(a) and 2604(b)(1). An interest may be either an ownership interest in a firm or a position with a firm. See Charter § 2601(12). "Ownership interest" is, in turn, defined in Charter § 2601(16) as

an interest in a firm held by a public servant, or the public servant's spouse or unemancipated child, which exceeds five percent of the firm or an investment of twenty-five thousand dollars in cash or other form of commitment, whichever is less, or five percent or twenty-five thousand dollars of the firm's indebtedness,

whichever is less, and any lesser interest in a firm when the public servant, or the public servant's spouse or unemancipated child exercises managerial control or responsibility regarding any such firm, but shall not include interests held in any pension plan, deferred compensation plan or mutual fund, the investments of which are not controlled by the public servant, the public servant's spouse or unemancipated child, or in any blind trust which holds or acquires an ownership interest. The amount of twenty-five thousand dollars specified herein shall be modified by the board pursuant to subdivision a of section twenty-six hundred three. (Emphasis added.)

Charter § 2603(a) requires the Conflicts of Interest Board, by rule, once every four years to adjust the \$25,000 dollar amount established in section 2601(16) to reflect changes in the Consumer Price Index for the metropolitan New York-New Jersey region published by the United States Bureau of Labor Statistics. The foregoing provision became effective on January 1, 1990. Accordingly, the Board must adopt a rule adjusting the \$25,000 amount to reflect changes in the Consumer Price Index.

According to the United States Department of Commerce, Bureau of Labor Statistics, for the four-year period from January 1990 to January 1994, the Consumer Price Index for the metropolitan area increased from 135.1 to 156.0, or 15.5%. Thus, the \$25,000 amount should be adjusted to \$29,000, reflecting a 15.5% increase in the \$25,000 Charter amount, rounded to the nearest \$1,000.

THURSDAY, JUNE 11, 1998

THE CITY RECORD

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CONFLICTS OF INTEREST BOARD

CITY OF NEW YORK
CONFLICTS OF INTEREST BOARD

Notice of Adoption of Amendment to Rule on the
Dollar Amount with Respect to "Ownership
Interest"

NOTICE IS HEREBY GIVEN PURSUANT TO THE AUTHORITY VESTED IN THE Conflicts of Interest Board by Section 2603(a) of the New York City Charter that the Conflicts of Interest Board has adopted an amendment to its rule on the Dollar Amount in Definition of Ownership Interest, Section 1-11 of Title 53 of the Rules of the City of New York, to adjust the dollar amount in the definition of "Ownership Interest" (Charter Section 2601(16)) to reflect changes in the Consumer Price Index for the metropolitan New York-New Jersey region published by the United States Department of Commerce, Bureau of Labor Statistics. Pursuant to a notice published on April 28, 1998, in *The City Record*, a public hearing was held on May 29, 1998, at 2 Lafayette Street, Suite 1010, New York, New York. The Board received no comments on the proposed amendment and adopted the proposed amendment as final. The text of the amendment is set out below.

SECTION 1-11. ADJUSTMENT OF DOLLAR AMOUNT IN DEFINITION OF
"OWNERSHIP INTEREST"

Effective as of January 1, [1994] 1998, the dollar amount in the definition of "Ownership Interest" in subdivision (16) of section 2601 of the New York City Charter shall be adjusted from \$25,000 to (\$25,000) \$22,000.

TUESDAY, JANUARY 28, 2003

THE CITY RECORD

AGENCY RULES

CONFLICTS OF INTEREST BOARD

■ NOTICE

CITY OF NEW YORK
CONFLICTS OF INTEREST BOARDNotice of Adoption of Amendment to Rule on the Dollar
Amount With Respect to "Ownership Interest"

NOTICE IS HEREBY GIVEN PURSUANT TO THE AUTHORITY VESTED IN THE Conflicts of Interest Board by Section 2603(a) of the New York City Charter that the Conflicts of Interest Board has adopted an amendment to its rule on Adjustment of Dollar Amount in Definition of Ownership Interest, Section 1-11 of Title 53 of the Rules of the City of New York, to adjust the dollar amount in the definition of "Ownership Interest" (Charter Section 2601(16)) to reflect changes in the Consumer Price Index for the metropolitan New York-New Jersey region published by the United States Department of Commerce, Bureau of Labor Statistics. Pursuant to a notice published on October 25, 2002, in the City Record, a public hearing was held on December 3, 2002, at 2 Lafayette Street, Suite 1010, New York, New York. The Board received no comments on the proposed amendment and adopted the proposed amendment as final. The text of the amendment is set forth below.

Section 1-11. ADJUSTMENT OF DOLLAR AMOUNT IN
DEFINITION OF "OWNERSHIP INTEREST"

Effective as of January 1, 2002, the dollar amount in the definition of "Ownership Interest" in subdivision (16) of § 2601 of the New York City Charter shall be adjusted from \$25,000 to [\$32,000] \$35,000.

STATUTORY AUTHORITY: Sections 2601(16) and 2603(a) of the New York City Charter.

STATEMENT OF BASIS AND PURPOSE OF PROPOSED AMENDMENT: Subject to certain exceptions, the conflicts of interest provisions of Chapter 68 of the New York City Charter prohibit New York City public servants from having "interests" in firms engaged in business dealings with the City or from taking actions as a public servant particularly affecting the public servant's interest in a firm. See Charter Sections 2604(a) and 2604(b)(1). An interest may be either an ownership interest in a firm or a position with a firm. See Charter Section 2601(12).

"Ownership interest" is, in turn, defined in Charter Section 2601(16) as an interest in a firm held by a public servant, or the public servant's spouse or unemancipated child, which exceeds five percent of the firm or an investment of twenty-five thousand dollars in cash or other form of commitment, whichever is less, or five percent or twenty-five thousand dollars of the firm's indebtedness, whichever is less, and any lesser interest in a firm when the public servant, or the public servant's

spouse or unemancipated child, or any other person, shall not include interests held in any pension plan, deferred compensation plan or mutual fund, the investments of which are not controlled by the public servant, the public servant's spouse or unemancipated child, or in any blind trust which holds or acquires an ownership interest. The amount of twenty-five thousand dollars specified herein shall be modified by the board pursuant to subdivision a of section twenty-six hundred three. (emphasis added)

Charter Section 2603(a) requires the Conflicts of Interest Board, by rule amendment, once every four years to adjust the \$25,000 amount established in Section 2601(16) to reflect changes in the Consumer Price Index for the metropolitan New York-New Jersey region as published by the United States Bureau of Labor Statistics. The forgoing provision became effective on January 1, 1990.

Pursuant to Charter Section 2603(a), Board Rule Section 1-11 was first adopted in 1994 to reflect the change in the Consumer Price Index from 135.1 in January 1990, to 156.0 in January 1994, or an increase of 15.5%, and to raise the original \$25,000 Charter amount in a like percentage to \$29,000, rounded to the nearest \$1,000. In 1998, Board Rule Section 1-11 was amended, effective January 1998, to reflect the change in the Consumer Price Index from 135.1 in January 1990, to 172.1 in January 1998, reflecting a total of a 27.4% increase in the original \$25,000 Charter amount, raising the threshold to \$32,000, rounded to the nearest \$1,000.

According to the United States Department of Commerce, Bureau of Labor Statistics, for the twelve-year period from January 1990, to January 2002, the Consumer Price Index for the metropolitan area increased from 135.1 to 188.5, reflecting a total increase of 39.5%. Thus, the \$25,000 Charter amount should be adjusted to \$35,000, reflecting a 39.5% increase in the \$25,000 Charter amount, rounded to the nearest \$1,000.

Pursuant to Charter Section 1042, this proposed amendment to Board Rule Section 1-11 was published in the Board's Fiscal Year 2003 regulatory agenda.

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CITY OF NEW YORK
CONFLICTS OF INTEREST BOARD

Notice of Adoption of Amendment to Rule on
the Dollar Amount With Respect to "Ownership Interest"

NOTICE IS HEREBY GIVEN PURSUANT TO THE AUTHORITY VESTED IN THE Conflicts of Interest Board by Section 2603(a) of the New York City Charter that the Conflicts of Interest Board has adopted an amendment to its rule on Adjustment of Dollar Amount in Definition of Ownership Interest, Section 1-11 of Title 53 of the Rules of the City of New York, to adjust the dollar amount in the definition of "Ownership Interest" (Charter Section 2601(16)) to reflect changes in the Consumer Price Index for the metropolitan New York-New Jersey region published by the United States Department of Commerce, Bureau of Labor Statistics. Pursuant to a notice published on October 25, 2002, in the City Record, a public hearing was held on December 3, 2002, at 2 Lafayette Street, Suite 1010, New York, New York. The Board received no comments on the proposed amendment and adopted the proposed amendment as final. The text of the amendment is set forth below.

Section 1-11. ADJUSTMENT OF DOLLAR AMOUNT IN DEFINITION OF "OWNERSHIP INTEREST"

Effective as of January 1, 2002, the dollar amount in the definition of "Ownership Interest" in subdivision (16) of § 2601 of the New York City Charter shall be adjusted from \$25,000 to [\$32,000] \$35,000.

STATUTORY AUTHORITY: Sections 2601(16) and 2603(a) of the New York City Charter.

STATEMENT OF BASIS AND PURPOSE OF PROPOSED AMENDMENT: Subject to certain exceptions, the conflicts of interest provisions of Chapter 68 of the New York City Charter prohibit New York City public servants from having “interests” in firms engaged in business dealings with the City or from taking actions as a public servant particularly affecting the public servant’s interest in a firm. See Charter Sections 2604(a) and 2604(b)(1). An interest may be either an ownership interest in a firm or a position with a firm. See Charter Section 2601(12).

“Ownership interest” is, in turn, defined in Charter Section 2601(16) as

an interest in a firm held by a public servant, or the public servant’s spouse or unemancipated child, which exceeds five percent of the firm or an investment of twenty-five thousand dollars in cash or other form of commitment, whichever is less, or five percent or twenty-five thousand dollars of the firm’s indebtedness, whichever is less, and any lesser interest in a firm when the public servant, or the public servant’s spouse or unemancipated child exercises managerial control or responsibility regarding any such firm, but shall not include interests held in any pension plan, deferred compensation plan or mutual fund, the investments of which are not controlled by the public servant, the public servant’s spouse or unemancipated child, or in any blind trust which holds or acquires an ownership interest. The amount of twenty-five thousand dollars specified herein shall be modified by the board pursuant to subdivision a of section twenty-six hundred three. (emphasis added)

Charter Section 2603(a) requires the Conflicts of Interest Board, by rule amendment, once every four years to adjust the \$25,000 amount established in Section 2601(16) to reflect changes in the Consumer Price Index for the metropolitan New York-New Jersey region as published by the United States Bureau of Labor Statistics. The forgoing provision became effective on January 1, 1990.

Pursuant to Charter Section 2603(a), Board Rule Section 1-11 was first adopted in 1994 to reflect the change in the Consumer Price Index from 135.1 in January 1990, to 156.0 in January 1994, or an increase of 15.5%, and to raise the original \$25,000 Charter amount in a like percentage to \$29,000, rounded to the nearest \$1,000. In 1998, Board Rule Section 1-11 was amended, effective January 1998, to reflect the change in the Consumer Price Index from 135.1 in January 1990, to 172.1 in January 1998, reflecting a total of a 27.4% increase in the original \$25,000 Charter amount, raising the threshold to \$32,000, rounded to the nearest \$1,000.

According to the United States Department of Commerce, Bureau of Labor Statistics, for the twelve-year period from January 1990, to January 2002, the Consumer Price Index for the metropolitan area increased from 135.1 to 188.5, reflecting a total increase of 39.5%. Thus, the \$25,000 Charter amount should be adjusted to \$35,000, reflecting a 39.5% increase in the \$25,000 Charter amount, rounded to the nearest \$1,000.

Pursuant to Charter Section 1042, this proposed amendment to Board Rule Section 1-11 was published in the Board's Fiscal Year 2003 regulatory agenda.

CITY OF NEW YORK
CONFLICTS OF INTEREST BOARD

Notice of Adoption of Amendment to Rule on
the Dollar Amount With Respect to "Ownership Interest"

NOTICE IS HEREBY GIVEN PURSUANT TO THE AUTHORITY VESTED IN THE Conflicts of Interest Board by Section 2603(a) of the New York City Charter that the Conflicts of Interest Board has adopted an amendment to its rule on Adjustment of Dollar Amount in Definition of Ownership Interest, Section 1-11 of Title 53 of the Rules of the City of New York, to adjust the dollar amount in the definition of "Ownership Interest" (Charter Section 2601(16)) to reflect changes in the Consumer Price Index for the metropolitan New York-New Jersey region published by the United States Department of Commerce, Bureau of Labor Statistics. Pursuant to a notice published on December 29, 2005, in the City Record, a public hearing was held on February 7, 2006, at 2 Lafayette Street, Suite 1010, New York, New York. The Board received no comments on the proposed amendment and adopted the proposed amendment as final. The text of the amendment is set forth below.

Section 1-11. ADJUSTMENT OF DOLLAR AMOUNT IN DEFINITION OF "OWNERSHIP INTEREST"

Effective as of January 1, [2002] 2006, the dollar amount in the definition of "Ownership Interest" in subdivision (16) of § 2601 of the New York City Charter shall be adjusted from [\$25,000] \$35,000 to [\$35,000] \$40,000.

STATUTORY AUTHORITY: Sections 2601(16) and 2603(a) of the New York City Charter.

STATEMENT OF BASIS AND PURPOSE OF AMENDMENT: Subject to certain exceptions, the conflicts of interest provisions of Chapter 68 of the New York City Charter prohibit New York City public servants from having “interests” in firms engaged in business dealings with the City or from taking actions as a public servant particularly affecting the public servant’s interest in a firm. See Charter Sections 2604(a) and 2604(b)(1). An interest may be either an ownership interest in a firm or a position with a firm. See Charter Section 2601(12).

“Ownership interest” is, in turn, defined in Charter Section 2601(16) as

an interest in a firm held by a public servant, or the public servant’s spouse or unemancipated child, which exceeds five percent of the firm or an investment of twenty-five thousand dollars in cash or other form of commitment, whichever is less, or five percent or twenty-five thousand dollars of the firm’s indebtedness, whichever is less, and any lesser interest in a firm when the public servant, or the public servant’s spouse or unemancipated child exercises managerial control or responsibility regarding any such firm, but shall not include interests held in any pension plan, deferred compensation plan or mutual fund, the investments of which are not controlled by the public servant, the public servant’s spouse or unemancipated child, or in any blind trust which holds or acquires an ownership interest. The amount of twenty-five thousand dollars specified herein shall be modified by the board pursuant to subdivision a of section twenty-six hundred three. (emphasis added)

Charter Section 2603(a) requires the Conflicts of Interest Board, by rule amendment, once every four years to adjust the \$25,000 amount established in Section 2601(16) to reflect changes in the Consumer Price Index for the metropolitan New York-New Jersey region as published by the United States Bureau of Labor Statistics. The forgoing provision became effective on January 1, 1990.

Pursuant to Charter Section 2603(a), Board Rule Section 1-11 was adopted in 1994 to reflect the change in the Consumer Price Index from 135.1 in January 1990, to 156.0 in January 1994, or an increase of 15.5%, and to raise the original \$25,000 Charter amount in a like percentage to \$29,000, rounded to the nearest \$1,000. In 1998, Board Rule Section 1-11 was amended, effective January 1998, to reflect the change in the Consumer Price Index from 135.1 in January 1990, to 172.1 in January 1998, reflecting a total of a 27.4% increase from the original \$25,000 Charter amount, raising the threshold to \$32,000, rounded to the nearest \$1,000. In 2002, Board Rule Section 1-11 was amended, effective January 2002, to reflect the change in the Consumer Price Index from 135.1 in January 1990, to 188.5 in January 2002, reflecting a total of a 39.5% increase from the original \$25,000 Charter amount, raising the threshold to \$35,000, rounded to the nearest \$1,000.

According to the United States Department of Commerce, Bureau of Labor Statistics, for the fifteen-year period from January 1990, to October 2005, the Consumer Price Index for the metropolitan area increased from 135.1 to 216.6, reflecting a total increase of 60.3%. Thus, the \$25,000 Charter amount should be adjusted to \$40,000, reflecting a 60% increase from the \$25,000 Charter amount, rounded to the nearest \$1,000.

CONFLICTS OF INTEREST BOARD

PUBLIC HEARINGS

Notice of Adoption of Amendment to Rule on the Dollar Amount With Respect to “Ownership Interest”

NOTICE IS HEREBY GIVEN PURSUANT TO THE AUTHORITY VESTED IN THE Conflicts of Interest Board by Section 2603(a) of the New York City Charter that the Conflicts of Interest Board has adopted an amendment to its rule on Adjustment of Dollar Amount in Definition of Ownership Interest, Section 1-11 of Title 53 of the Rules of the City of New York, to adjust the dollar amount in the definition of “Ownership Interest” (Charter Section 2601(16)) to reflect changes in the Consumer Price Index for the metropolitan New York-New Jersey region published by the United States Department of Commerce, Bureau of Labor Statistics. Pursuant to a notice published on February 9, 2010 in the City Record, a public hearing was held on March 16, 2010 at 2 Lafayette Street, Suite 1010, New York, New York. The Board received no comments on the proposed amendment and adopted the proposed amendment as final. The text of the amendment is set forth below.

Section 1-11. ADJUSTMENT OF DOLLAR AMOUNT IN DEFINITION OF “OWNERSHIP INTEREST”

Effective as of January 1, [2006] 2010, the dollar amount in the definition of “Ownership Interest” in subdivision (16) of § 2601 of the New York City Charter shall be adjusted from [§35,000] §40,000 to [§40,000] §44,000.

STATUTORY AUTHORITY: Sections 2601(16) and 2603(a) of the New York City Charter.

STATEMENT OF BASIS AND PURPOSE OF PROPOSED AMENDMENT: Subject to certain exceptions, the conflicts of interest provisions of Chapter 68 of the New York City Charter prohibit New York City public servants from having “interests” in firms engaged in business dealings with the City or from taking actions as a public servant particularly affecting the public servant’s interest in a firm. See Charter Sections 2604(a) and 2604(b)(1). An interest may be either an ownership interest in a firm or a position with a firm. See Charter Section 2601(12).

“Ownership interest” is, in turn, defined in Charter Section 2601(16) as an interest in a firm held by a public servant, or the public servant’s spouse, domestic partner, or unemancipated child, which exceeds five percent of the firm or an investment of twenty-five thousand dollars in cash or other form of commitment, whichever is less, or five percent or twenty-five thousand dollars of the firm’s indebtedness, whichever is less, and any lesser interest in a firm when the public servant, or the public servant’s spouse, domestic partner, or unemancipated child exercises managerial control or responsibility regarding any such firm, but shall not include interests held in any pension plan, deferred compensation plan or mutual fund, the investments of which are not controlled by the public servant, the public servant’s spouse, domestic partner, or unemancipated child, or in any blind trust which holds or acquires an ownership interest. The amount of twenty-five thousand dollars specified herein shall be modified by the board pursuant to subdivision a of section twenty-six hundred three. (emphasis added)

Charter Section 2603(a) requires the Conflicts of Interest Board, by rule amendment, once every four years to adjust the \$25,000 amount established in Section 2601(16) to reflect changes in the Consumer Price Index for the metropolitan New York-New Jersey region as published by the United States Bureau of Labor Statistics. The forgoing provision became effective on January 1, 1990.

Pursuant to Charter Section 2603(a), Board Rule Section 1-11 was adopted in 1994 to reflect the change in the Consumer Price Index from 135.1 in January 1990, to 156.0 in January 1994, or an increase of 15.5%, and to raise the original \$25,000 Charter amount in a like percentage to \$29,000, rounded to the nearest \$1,000. In 1998, Board Rule Section 1-11 was amended, effective January 1998, to reflect the change in the Consumer Price Index from 135.1 in January 1990, to 172.1 in January 1998, reflecting a total of a 27.4% increase from the original \$25,000 Charter amount, raising the threshold to \$32,000, rounded to the nearest \$1,000. In 2002, Board Rule Section 1-11 was amended, effective January 2002, to reflect the change in the Consumer Price Index from 135.1 in January 1990, to 188.5 in January 2002, reflecting a total of a 39.5% increase from the original \$25,000 Charter amount, raising the threshold to \$35,000,

rounded to the nearest \$1,000. In 2006, Board Rule Section 1-11 was amended, effective January 2006, to reflect the change in the Consumer Price Index from 135.1 in January 1990, to 216.6 in October 2005, reflecting a total of a 60.3% increase from the original \$25,000 Charter amount, raising the threshold to \$40,000, rounded to the nearest \$1,000.

According to the United States Department of Commerce, Bureau of Labor Statistics, for the twenty-year period from January 1990, to November 2009, the Consumer Price Index for the metropolitan area increased from 135.1 to 238.8, reflecting a total increase of 76.8%. Thus, the \$25,000 Charter amount should be adjusted to \$44,000, reflecting a 76% increase from the originally published amount of \$25,000, rounded to the nearest \$1,000.

Pursuant to Charter Section 1042, this proposed amendment to Board Rule Section 1-11 was published in the Board’s Fiscal Year 2010 regulatory agenda.



COMPTROLLER

NOTICE

NOTICE OF ADVANCE PAYMENT OF AWARDS PURSUANT TO THE STATUTES IN SUCH cases made and provided, notice is hereby given that the Comptroller of the City of New York, will be ready to pay, at 1 Centre Street, Rm. 629, New York, NY 10007 on April 21, 2010, to the person or persons legally entitled an amount as certified to the Comptroller by the Corporation Counsel on damage parcels, as follows:

Damage Parcel No.	Block	Lot
10	146	16

Acquired in the proceeding, entitled: FIFTHE AMENDED BROOKLYN CENTER URP PHASE 2 subject to any liens and encumbrances of record on such property. The amount advanced shall cease to bear interest on the specified date above.

JOHN C. LIU
Comptroller

a7-21

NOTICE OF ADVANCE PAYMENT OF AWARDS PURSUANT TO THE STATUTES IN SUCH cases made and provided, notice is hereby given that the Comptroller of the City of New York, will be ready to pay, at 1 Centre St., Rm. 629, New York, NY 10007 on April 20, 2010, to the person or persons legally entitled an amount as certified to the Comptroller by the Corporation Counsel on damage parcels, as follows:

Damage Parcel No.	Block	Lot
47	3661	39
39,100	3759	58, 11
101,102,103	3759	53,15,48,20,23
104,105,106		45,25,42 ,28,30
107,108,109		39,37,34,33
110,111,112		
113,114		
121	3760	13
130	3760	25
133,134	3760	37
143	3791	22
151	3765	13
152	3765	11
171,172	3790	14,13
173	3790	12
174	3790	11
190,191,192	3722	1,4
193,194,195	3722	11,15,20
196	3722	24

Acquired in the proceeding, entitled NEW CREEK BLUEBELT, PHASE 4 subject to any liens and encumbrances of record on such property. The amount advanced shall cease to bear interest on the specified date above.

JOHN C. LIU
Comptroller

a6-20

HOUSING PRESERVATION & DEVELOPMENT

NOTICE

OFFICE OF ENFORCEMENT & NEIGHBORHOOD SERVICES CERTIFICATION OF NO HARASSMENT UNIT

REQUEST FOR COMMENT ON APPLICATION FOR CERTIFICATION OF NO HARASSMENT PURSUANT TO LOCAL LAW 19 OF 1983

DATE OF NOTICE: April 8, 2010

TO: OCCUPANTS, FORMER OCCUPANTS AND OTHER INTERESTED PARTIES OF

Address	Application #	Inquiry Period
603 West 179th Street, Manhattan	24/10	March 8, 2007 to Present
218 Bowery, Manhattan	29/10	March 25, 2007 to Present
a/k/a 218-220 Bowery		
141 West 123rd Street, Manhattan	31/10	March 30, 2007 to Present

The Department of Housing Preservation and Development has received an application for a certification that during the inquiry period noted for the premises above, that no harassment has occurred at such premises in the form of threats, use of physical force, deprivation of essential services such as heat, water, gas or electric, or by any other conduct intended to cause persons to vacate the premises or waive rights related to their occupancy. Upon the issuance of a Certification, an owner can legally convert the premises to non-single room occupancy use.

Comments as to whether harassment has occurred at the premises should be submitted to the Anti-Harassment Unit, 100 Gold Street, 3rd Floor, New York, NY 10038, by letter postmarked not later than 30 days from the date of this notice or by an in-person statement made within the same period. To schedule an appointment for an in-person statement please call **(212) 863-5277, (212) 863-8211 or (212) 863-8298.**

OFFICE OF ENFORCEMENT & NEIGHBORHOOD SERVICES CERTIFICATION OF NO HARASSMENT UNIT

REQUEST FOR COMMENT ON APPLICATION FOR CERTIFICATION OF NO HARASSMENT PURSUANT TO THE SPECIAL GREENPOINT-WILLIAMSBURG DISTRICT PROVISIONS OF THE ZONING RESOLUTION

DATE OF NOTICE: April 8, 2010

TO: OCCUPANTS, FORMER OCCUPANTS AND OTHER INTERESTED PARTIES OF

Address	Application #	Inquiry Period
138 North 8th Street, Brooklyn	23/10	October 4, 2004 to Present
239 North 5th Street, Brooklyn	30/10	October 4, 2004 to Present
499 Grand Street, Brooklyn	32/10	October 4, 2004 to Present
a/k/a 355 Union Avenue		

Prior to the issuance of a permit by the Department of Buildings for the alteration or demolition of residential buildings in certain areas of the **Special Greenpoint-Williamsburg District**, the Department of Housing Preservation and Development is required to certify that: 1) prior to evicting or otherwise terminating the occupancy of any tenant preparatory to alteration or demolition, the owner shall have notified HPD of the owner's intention to alter or demolish the building and 2) the eviction and relocation practices followed by the owner of the building satisfy all applicable legal requirements and that no harassment has occurred.

The owner of the building located at the above-referenced address seeks the issuance of an HPD Certification. The owner has represented and certified to HPD of the owner’s intention to alter or demolish the building and that the eviction and relocation practices followed by the owner satisfy all applicable legal requirements and that no harassment has occurred. For your information HPD considers harassment to include, but not be limited to, the threatened or actual use of physical force, deprivation of essential services such as heat, water, gas or electric, or any other conduct intended to cause persons to vacate the premises or waive rights related to their occupancy.

HPD requests that if you have any comments or evidence of unlawful eviction and relocation practices or harassment occurring at the above referenced premises that you notify the Anti-Harassment Unit, 3rd Floor, 100 Gold Street, New York, NY 10038, by letter postmarked not later than 30 days from the date of this notice or by an in-person statement made within the same period. To schedule an appointment for an in-person statement please call **(212) 863-5277, (212) 863-8211 or (212) 863-8298.**

CHANGES IN PERSONNEL

COMMUNITY COLLEGE (BRONX)
FOR PERIOD ENDING 03/05/10

NAME		TITLE	NUM	SALARY	ACTION	PROV	EFF DATE
MARROQUIN	GONZALO		90698	\$198.8800	APPOINTED	YES	02/21/10
ALBUERME	AMY	K	10101	\$7.2500	APPOINTED	YES	02/16/10
ALMONTE	ANGELINA		04294	\$21.6133	APPOINTED	YES	01/17/10
ALMONTE	PABLO		10102	\$9.9600	APPOINTED	YES	02/07/10
ALVAREZ-FLOUZAT	KALINKA		04294	\$43.2267	APPOINTED	YES	01/17/10
AROCHO	DAMARIS		04802	\$26714.0000	APPOINTED	NO	02/22/10
BAGER	CHRISTEN		91650	\$250.8000	APPOINTED	YES	02/07/10
BENLOSS	OMAR		10102	\$10.0000	APPOINTED	YES	02/01/10
BISONO	JOSE	L	90753	\$174.4000	DECREASE	YES	02/16/10
BROWN	CARLTON		04294	\$32.4200	APPOINTED	YES	01/17/10
BROWN	CARLTON		04688	\$38.9100	APPOINTED	YES	01/28/10
CATALINOTTO	JOHN	O	04294	\$43.2267	APPOINTED	YES	01/17/10
COCCO	EMMANUEL		90753	\$174.4000	APPOINTED	YES	02/07/10
D' INNOCENZO	PAUL		04294	\$43.2267	APPOINTED	YES	01/17/10
DERBALI	JEMEL	A	10102	\$10.9900	APPOINTED	YES	02/07/10
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JAMES	HORACE		90753	\$174.4000	DECREASE	YES	02/16/10
KALSON	MICHAEL	A	04293	\$122.5500	APPOINTED	YES	01/17/10
KAMIS	JACK	A	04294	\$86.4533	APPOINTED	YES	01/17/10
KAPLAN	MARK		04293	\$36.7650	APPOINTED	YES	01/17/10

KOPELIOVICH	YAACOV	04293	\$85.7850	APPOINTED	YES	01/17/10
LAMANY	LOUKMAN	10102	\$10.0000	APPOINTED	YES	01/28/10
LASSER	JUSTIN	04294	\$32.4200	APPOINTED	YES	01/17/10
LI	ZUMING	04294	\$75.6467	APPOINTED	YES	01/17/10
MENDEZ	AMILCAR	A 10102	\$10.3600	APPOINTED	YES	02/01/10
MEYERS	CARMEN	04294	\$86.4533	APPOINTED	YES	01/17/10
PEDRO	LAILA	04294	\$43.2267	APPOINTED	YES	01/17/10
PERALTA	MICHAEL	10102	\$10.0000	APPOINTED	YES	02/11/10
QUEZADA	VICTOR	10102	\$13.0000	APPOINTED	YES	02/01/10
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STEWART	ETHAN	A 90698	\$198.8800	APPOINTED	YES	02/07/10
SUAREZ	ELVIRA	04802	\$30187.0000	APPOINTED	YES	02/16/10
TATUM	MICHAEL	J 04802	\$26714.0000	APPOINTED	NO	02/24/10
TAYLOR	JARRETT	10102	\$10.9900	APPOINTED	YES	02/07/10
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WONG	KARSTINA	10102	\$10.0000	APPOINTED	YES	01/28/10
YOO	MYUNGSOO	04294	\$43.2267	APPOINTED	YES	01/17/10

COMMUNITY COLLEGE (QUEENSBORO)
FOR PERIOD ENDING 03/05/10

NAME		TITLE	NUM	SALARY	ACTION	PROV	EFF DATE
ADAMS	PAUL	I	10102	\$11.1100	APPOINTED	YES	02/01/10
BERLINER	EMILY	R	10102	\$21.3400	APPOINTED	YES	02/16/10
BONETA	DANIELLE	A	10102	\$21.3400	APPOINTED	YES	02/16/10
BREWER	BRIAN	W	04294	\$43.2270	APPOINTED	YES	02/14/10
BUCKNER	DAVID	A	10102	\$21.3400	APPOINTED	YES	02/16/10

CONFLICTS OF INTEREST BOARD

PUBLIC HEARINGS

Notice of Adoption of Amendment to Rule on the Dollar Amount With Respect to “Ownership Interest”

NOTICE IS HEREBY GIVEN PURSUANT TO THE AUTHORITY VESTED IN THE Conflicts of Interest Board by Section 2603(a) of the New York City Charter that the Conflicts of Interest Board has adopted an amendment to its rule on Adjustment of Dollar Amount in Definition of Ownership Interest, Section 1-11 of Title 53 of the Rules of the City of New York, to adjust the dollar amount in the definition of “Ownership Interest” (Charter Section 2601(16)) to reflect changes in the Consumer Price Index for the metropolitan New York-New Jersey region published by the United States Department of Commerce, Bureau of Labor Statistics. Pursuant to a notice published on February 9, 2010 in the City Record, a public hearing was held on March 16, 2010 at 2 Lafayette Street, Suite 1010, New York, New York. The Board received no comments on the proposed amendment and adopted the proposed amendment as final. The text of the amendment is set forth below.

Section 1-11. ADJUSTMENT OF DOLLAR AMOUNT IN DEFINITION OF “OWNERSHIP INTEREST”

Effective as of January 1, [2006] 2010, the dollar amount in the definition of “Ownership Interest” in subdivision (16) of § 2601 of the New York City Charter shall be adjusted from [§35,000] \$40,000 to [§40,000] \$44,000.

STATUTORY AUTHORITY: Sections 2601(16) and 2603(a) of the New York City Charter.

STATEMENT OF BASIS AND PURPOSE OF PROPOSED AMENDMENT: Subject to certain exceptions, the conflicts of interest provisions of Chapter 68 of the New York City Charter prohibit New York City public servants from having “interests” in firms engaged in business dealings with the City or from taking actions as a public servant particularly affecting the public servant’s interest in a firm. See Charter Sections 2604(a) and 2604(b)(1). An interest may be either an ownership interest in a firm or a position with a firm. See Charter Section 2601(12).

“Ownership interest” is, in turn, defined in Charter Section 2601(16) as an interest in a firm held by a public servant, or the public servant’s spouse, domestic partner, or unemancipated child, which exceeds five percent of the firm or an investment of twenty-five thousand dollars in cash or other form of commitment, whichever is less, or five percent or twenty-five thousand dollars of the firm’s indebtedness, whichever is less, and any lesser interest in a firm when the public servant, or the public servant’s spouse, domestic partner, or unemancipated child exercises managerial control or responsibility regarding any such firm, but shall not include interests held in any pension plan, deferred compensation plan or mutual fund, the investments of which are not controlled by the public servant, the public servant’s spouse, domestic partner, or unemancipated child, or in any blind trust which holds or acquires an ownership interest. The amount of twenty-five thousand dollars specified herein shall be modified by the board pursuant to subdivision a of section twenty-six hundred three. (emphasis added)

Charter Section 2603(a) requires the Conflicts of Interest Board, by rule amendment, once every four years to adjust the \$25,000 amount established in Section 2601(16) to reflect changes in the Consumer Price Index for the metropolitan New York-New Jersey region as published by the United States Bureau of Labor Statistics. The forgoing provision became effective on January 1, 1990.

Pursuant to Charter Section 2603(a), Board Rule Section 1-11 was adopted in 1994 to reflect the change in the Consumer Price Index from 135.1 in January 1990, to 156.0 in January 1994, or an increase of 15.5%, and to raise the original \$25,000 Charter amount in a like percentage to \$29,000, rounded to the nearest \$1,000. In 1998, Board Rule Section 1-11 was amended, effective January 1998, to reflect the change in the Consumer Price Index from 135.1 in January 1990, to 172.1 in January 1998, reflecting a total of a 27.4% increase from the original \$25,000 Charter amount, raising the threshold to \$32,000, rounded to the nearest \$1,000. In 2002, Board Rule Section 1-11 was amended, effective January 2002, to reflect the change in the Consumer Price Index from 135.1 in January 1990, to 188.5 in January 2002, reflecting a total of a 39.5% increase from the original \$25,000 Charter amount, raising the threshold to \$35,000,

rounded to the nearest \$1,000. In 2006, Board Rule Section 1-11 was amended, effective January 2006, to reflect the change in the Consumer Price Index from 135.1 in January 1990, to 216.6 in October 2005, reflecting a total of a 60.3% increase from the original \$25,000 Charter amount, raising the threshold to \$40,000, rounded to the nearest \$1,000.

According to the United States Department of Commerce, Bureau of Labor Statistics, for the twenty-year period from January 1990, to November 2009, the Consumer Price Index for the metropolitan area increased from 135.1 to 238.8, reflecting a total increase of 76.8%. Thus, the \$25,000 Charter amount should be adjusted to \$44,000, reflecting a 76% increase from the originally published amount of \$25,000, rounded to the nearest \$1,000.

Pursuant to Charter Section 1042, this proposed amendment to Board Rule Section 1-11 was published in the Board’s Fiscal Year 2010 regulatory agenda.



COMPTROLLER

NOTICE

NOTICE OF ADVANCE PAYMENT OF AWARDS PURSUANT TO THE STATUTES IN SUCH cases made and provided, notice is hereby given that the Comptroller of the City of New York, will be ready to pay, at 1 Centre Street, Rm. 629, New York, NY 10007 on April 21, 2010, to the person or persons legally entitled an amount as certified to the Comptroller by the Corporation Counsel on damage parcels, as follows:

Damage Parcel No.	Block	Lot
10	146	16

Acquired in the proceeding, entitled: FIFTHE AMENDED BROOKLYN CENTER URP PHASE 2 subject to any liens and encumbrances of record on such property. The amount advanced shall cease to bear interest on the specified date above.

JOHN C. LIU
Comptroller

a7-21

NOTICE OF ADVANCE PAYMENT OF AWARDS PURSUANT TO THE STATUTES IN SUCH cases made and provided, notice is hereby given that the Comptroller of the City of New York, will be ready to pay, at 1 Centre St., Rm. 629, New York, NY 10007 on April 20, 2010, to the person or persons legally entitled an amount as certified to the Comptroller by the Corporation Counsel on damage parcels, as follows:

Damage Parcel No.	Block	Lot
47	3661	39
39,100	3759	58, 11
101,102,103	3759	53,15,48,20,23
104,105,106		45,25,42 ,28,30
107,108,109		39,37,34,33
110,111,112		
113,114		
121	3760	13
130	3760	25
133,134	3760	37
143	3791	22
151	3765	13
152	3765	11
171,172	3790	14,13
173	3790	12
174	3790	11
190,191,192	3722	1,4
193,194,195	3722	11,15,20
196	3722	24

Acquired in the proceeding, entitled NEW CREEK BLUEBELT, PHASE 4 subject to any liens and encumbrances of record on such property. The amount advanced shall cease to bear interest on the specified date above.

JOHN C. LIU
Comptroller

a6-20

HOUSING PRESERVATION & DEVELOPMENT

NOTICE

OFFICE OF ENFORCEMENT & NEIGHBORHOOD SERVICES CERTIFICATION OF NO HARASSMENT UNIT

REQUEST FOR COMMENT ON APPLICATION FOR CERTIFICATION OF NO HARASSMENT PURSUANT TO LOCAL LAW 19 OF 1983

DATE OF NOTICE: April 8, 2010

TO: OCCUPANTS, FORMER OCCUPANTS AND OTHER INTERESTED PARTIES OF

Address	Application #	Inquiry Period
603 West 179th Street, Manhattan	24/10	March 8, 2007 to Present
218 Bowery, Manhattan	29/10	March 25, 2007 to Present
a/k/a 218-220 Bowery		
141 West 123rd Street, Manhattan	31/10	March 30, 2007 to Present

The Department of Housing Preservation and Development has received an application for a certification that during the inquiry period noted for the premises above, that no harassment has occurred at such premises in the form of threats, use of physical force, deprivation of essential services such as heat, water, gas or electric, or by any other conduct intended to cause persons to vacate the premises or waive rights related to their occupancy. Upon the issuance of a Certification, an owner can legally convert the premises to non-single room occupancy use.

Comments as to whether harassment has occurred at the premises should be submitted to the Anti-Harassment Unit, 100 Gold Street, 3rd Floor, New York, NY 10038, by letter postmarked not later than 30 days from the date of this notice or by an in-person statement made within the same period. To schedule an appointment for an in-person statement please call (212) 863-5277, (212) 863-8211 or (212) 863-8298.

a8-15

OFFICE OF ENFORCEMENT & NEIGHBORHOOD SERVICES CERTIFICATION OF NO HARASSMENT UNIT

REQUEST FOR COMMENT ON APPLICATION FOR CERTIFICATION OF NO HARASSMENT PURSUANT TO THE SPECIAL GREENPOINT-WILLIAMSBURG DISTRICT PROVISIONS OF THE ZONING RESOLUTION

DATE OF NOTICE: April 8, 2010

TO: OCCUPANTS, FORMER OCCUPANTS AND OTHER INTERESTED PARTIES OF

Address	Application #	Inquiry Period
138 North 8th Street, Brooklyn	23/10	October 4, 2004 to Present
239 North 5th Street, Brooklyn	30/10	October 4, 2004 to Present
499 Grand Street, Brooklyn	32/10	October 4, 2004 to Present
a/k/a 355 Union Avenue		

Prior to the issuance of a permit by the Department of Buildings for the alteration or demolition of residential buildings in certain areas of the **Special Greenpoint-Williamsburg District**, the Department of Housing Preservation and Development is required to certify that: 1) prior to evicting or otherwise terminating the occupancy of any tenant preparatory to alteration or demolition, the owner shall have notified HPD of the owner's intention to alter or demolish the building and 2) the eviction and relocation practices followed by the owner of the building satisfy all applicable legal requirements and that no harassment has occurred.

The owner of the building located at the above-referenced address seeks the issuance of an HPD Certification. The owner has represented and certified to HPD of the owner’s intention to alter or demolish the building and that the eviction and relocation practices followed by the owner satisfy all applicable legal requirements and that no harassment has occurred. For your information HPD considers harassment to include, but not be limited to, the threatened or actual use of physical force, deprivation of essential services such as heat, water, gas or electric, or any other conduct intended to cause persons to vacate the premises or waive rights related to their occupancy.

HPD requests that if you have any comments or evidence of unlawful eviction and relocation practices or harassment occurring at the above referenced premises that you notify the Anti-Harassment Unit, 3rd Floor, 100 Gold Street, New York, NY 10038, by letter postmarked not later than 30 days from the date of this notice or by an in-person statement made within the same period. To schedule an appointment for an in-person statement please call (212) 863-5277, (212) 863-8211 or (212) 863-8298.

a8-15

CHANGES IN PERSONNEL

COMMUNITY COLLEGE (BRONX)
FOR PERIOD ENDING 03/05/10

NAME		TITLE	NUM	SALARY	ACTION	PROV	EFF DATE
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HENRY	BARRINGT		10102	\$14.6400	APPOINTED	YES	02/01/10
HENRY	DESMOND		04294	\$64.8400	APPOINTED	YES	01/31/10
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PERALTA	MICHAEL	10102	\$10.0000	APPOINTED	YES	02/11/10
QUEZADA	VICTOR	10102	\$13.0000	APPOINTED	YES	02/01/10
ROCKER	MATTHEW	04294	\$32.4200	APPOINTED	YES	01/17/10
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COMMUNITY COLLEGE (QUEENSBORO)
FOR PERIOD ENDING 03/05/10

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